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Express Mail No. EV784674670US

Attorney Docket No. 337348046US

PTO/SB/17i (04-05)

Approved for use through 7/31/2007. OMB 0651-0031

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PROCESSING FEE Under 37 CFR 1.17(i) **TRANSMITTAL**

(Fees are subject to annual revision)

Send completed form to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Application Number	10/606,202-Conf. #4373
Filing Date	June 24, 2003
First Named Inventor	Andrew D. Firlik
Art Unit	3762
Examiner Name	R. D. Bradford
Attorney Docket Number	337348046US

Enclosed is a paper filed under 37 CFR Payment of \$ 130.00 is enclosed. This form should be included with the above-mentioned paper and faxed or mailed to the Office using the appropriate Mail if applicable. For transmittal of petition fees under 37 CFR 1.17(f), (g) or (h), see form PTO/SB/17p.	
Payment of Fees (small entity amounts are NOT available for the processing fees)	
The Commissioner is hereby authorized to charge the following fees to Deposit Account No. 50-0665 processing fee under 37 CFR 1.17(i) any deficiency of fees and credit of any overpayments.	: ents
Enclose a duplicative copy of this form for fee processing.	
Check in the amount of \$ 130.00 is enclosed.	
Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on thi	s form.
Processing Fees under 37 CFR 1.17(i): Fee \$130 Fee Code 1808 for all,	
Except for §1.221 papers (Fee Code 1803)	
§ 1.28(c)(3) – for processing a non-itemized fee deficiency based on an error in small entity status. § 1.41 – for supplying the name or names of the inventor or inventors after the filing date without an oath or declaration as prescribed by §1.63, except in provisional applications. § 1.48 – for correcting inventorship, except in provisional applications. § 1.52(d) – for processing a nonprovisional application filed with a specification in a language other than English. § 1.53(b)(3) – to convert a provisional application filed under § 1.53(c) into a nonprovisional application under §1.53(b). § 1.55 – for entry of late priority papers. § 1.71(g)(2) – to enter an amendment to the specification for purposes of 35 U.S.C. 103(c)(2) if not filed within the cited time periods § 1.99(e) – for processing a belated submission under § 1.99. § 1.103(b) – for requesting limited suspension of action, continued prosecution application (§ 1.53(d)). § 1.103(c) – for requesting limited suspension of action, request for continued examination (§ 1.114). § 1.103(c) – for requesting deferred examination of an application. § 1.217 – for processing a redacted copy of a paper submitted in the file of an application in which a redacted copy was submitted for the patent application publication. § 1.221 – for requesting voluntary publication. § 1.221 – for processing a second or subsequent protest by the same real party in interest. § 1.497(d) – for filing an oath or declaration pursuant to 35 U.S.C. 371(c)(4) naming an inventive entity different from the inventive entity set forth in the international stage. § 3.81 – for a patent to issue to assignee, assignment submitted after payment of the issue fee.	
May 25, 2006	
Signature Date	
John M. Wechkin 42,216	
Typed or printed name Registration No., if applicable	

MAY 2 5 2006

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Letters Patent of:

Firlik et al.

Application No.: 10/606,202

Filed: June 24, 2003

For: METHODS AND APPARATUS FOR

EFFECTUATING A LASTING CHANGE IN A

NEURAL-FUNCTION OF A PATIENT

REQUEST FOR CORRECTION OF INVENTORSHIP IN A NON-PROVISIONAL APPLICATION UNDER 37 C.F.R. 1.48(a)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This request under 37 C.F.R. § 1.48(a) is being made to add the name of Katrina S. Firlik as an inventor of the invention that is now being claimed in the above identified application. The correct inventorship should be Andrew D. Firlik, Jeffrey Balzer, Alan J. Levy, Bradford Evan Gliner and Katrina S. Firlik.

A Statement under 37 C.F.R. § 1.48(a)(2) from Katrina S. Firlik in which she declares that the error created by failing to include her as an inventor occurred without any deceptive intent on her part is enclosed. Also enclosed is a declaration by the actual named inventors as required by 37 C.F.R. § 1.63, and the written consent of the assignee as required by 37 C.F.R. § 1.48(a)(5).

Enclosed is a check covering the requisite fee of \$130.00 under C.F.R. § 1.17(i).

05/31/2006 FFANAEIA 00000067 10606202

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130.00 OP

The director is hereby authorized to credit any overpayment or charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith to our Deposit Account No. 50-0665, under Order No. 337348046US from which the undersigned is authorized to draw.

Dated: May 25, 2006

Respectfully submitted,

John M. Wechkin

Registration No.: 42,216

PERKINS COIE LLP

P.O. Box 1247

Seattle, Washington 98111-1247

(206) 359-8000

(206) 359-9000 (Fax)

Attorney for Applicant

Enclousres:

Postcard
Request for Correction of Inventorship
Statement by Katrina S. Firlik
Declaration
Consent of Assignee
Check in the Amount of \$130.00

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inge Patent Application of:

Application No.: 10/606,202

Confirmation No.: 4373

Filed: June 24, 2003

Art Unit: 3762

For: METHODS AND APPARATUS FOR

EFFECTUATING A LASTING CHANGE IN A

NEURAL-FUNCTION OF A PATIENT

CONSENT OF ASSIGNEE FOR CORRECTION OF INVENTORSHIP IN A NON-PROVISIONAL APPLICATION UNDER 37 C.F.R. § 1.48(a)(5) AND 37 C.F.R. § 3.73(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I, the undersigned, acting on behalf of the Assignee of the entire right, title and interest in the above-identified application, by virtue of Assignment executed by Andrew D. Firlik, Jeffrey Balzer, Alan J. Levy and Bradford Evan Gliner, recorded on December 17, 2003 at reel/frame 014798/0598, hereby state that the Assignee consents to the change in inventorship in U.S. Application No. 10/606,202 to include Katrina S. Firlik.

In accordance with 37 C.F.R. § 3.73(b), I hereby certify that I am empowered to act on behalf of the Assignee. To the best of my knowledge and belief, title is in the Assignee, as evidenced by the Assignment noted above.

I further declare that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, USC § 1001 and that such willful false statements may jeopardize the validity of this application or any patent resulting therefrom.

ASSIGNEE: Northstar Neuroscience, Inc.

Signature: Alan J. Levy

Title: President and CEO

Date: 2401 Fourth Ave, Suite 300 Seattle, WA 98121

Attorney Docket No. 337348046US

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Firlik et al.

Application No.: 10/606,202

Confirmation No.: 4373

Filed: June 24, 2003

Art Unit: 3762

For: METHODS AND APPARATUS FOR

EFFECTUATING A LASTING CHANGE IN A

NEURAL-FUNCTION OF A PATIENT

STATEMENT UNDER 37 C.F.R. § 1.48(a)(2) OF KATRINA S. FIRLIK IN SUPPORT OF REQUEST FOR CORRECTION OF INVENTORSHIP IN A NON-PROVISIONAL APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

As required by 37 C.F.R. § 1.48(a)(2), I, Katrina S. Firlik, hereby state that the error created by failing to include me as an inventor of subject matter claimed in the above identified application occurred without any deceptive intent on my part.

May 1, 2006

Katrina S. Firlik

press Mail No. EV784674670US

Attorney Docket No. 337348046US

Declaration	for Detent Application	Attorney Docket No.	337348046US
	for Patent Application	First Named Inventor	Andrew D. Firlik
English La	anguage Declaration	COMPL	ETE IF KNOWN:
		Application No.	10/606,202-Conf. #4373
Submitted	Submitted after initial	Filing Date	June 24, 2003
with initial	filing (surcharge required	Art Unit	3762
filing	37 CFR 1.16(e))	Examiner	R. D. Bradford
	d inventor, I hereby declare tha		
My residence, ma	ailing address and citizenship a	re as stated below next t	to my name.
and joint inventor	original, first and sole inventor (if plural names are listed belo t on the invention entitled:	(if only one name is liste w) of the subject matter	ed below) or an original, first which is claimed and for which
METHODS AND FUNCTION OF A	APPARATUS FOR EFFECTUA PATIENT	ATING A LASTING CHA	NGE IN A NEURAL-
	hereto n06/24/2003 States Application No. or PCT In		No. <u>10/606,202</u>
and was an	nended on	(if applicable).	
	it I have reviewed and understa		bove-identified specification,
1.56, including for	e duty to disclose information we recontinuation-in-part application of date of the prior application are art application.	ns, material information	which became available
applications(s) for international appl listed below and I inventor's or plant	reign priority benefits under 35 property patent, inventor's or plant bredication which designated at least have also identified below, by continuous to breeder's right certificate(s), continuous application on which priority is	eder's rights certificate(s st one country other than hecking the box, any for or any PCT international), or 365(a) of any PCT n the United States of America, eign application for patent,
Prior Foreign Ap	oplication(s)		Priority Certified Not Copy
			Claimed Attached YES NO
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(Number) (Country)	(Filing Date))
		(Filing DeA	
(Number) (Country)	(Filing Date	²)
Additional p	orior foreign applications are lis	ted on a supplemental d	ata sheet attached hereto.

made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. Full name of sole or first inventor Andrew D. Firlik Sole or first inventor's signature Residence New Canaan, Connecticut Citizenship US Mailing Address 161 Bowery Road New Canaan, Connecticut 06840 Full name of fourth inventor, if any Jeffrey Balzer Second inventor's signature Date 05-16-06 Desidence Allieon Park, PA Citizenship US Mailing Address 8176 Post Road Allison Park, Pennsylvania 15101 Full name of second inventor Alan J. Levy Third inventor's signature Date Residence Bellevue, Washington Citizenship US Mailing Address 5435 154th Ave SE Bellevue, Washington 98006

I hereby declare that all statements made herein of my own knowledge are true and that all statements

desidence deside	esidence ammamish, Washington itizenship US ailling Address 368 230th Way SE ammamish, Washington 98075 uil name of fourth Inventor, If any attrina S. Firlik fith inventor's signature Date esidence lew Canaan, Connecticut itizenship US ailling Address	Residence Sammamish, Washington Citizenship US Mailing Address 4368 230th Way SE Sammamish, Washington 98075 Full name of fourth inventor, if any Katrina S. Firlik Fifth inventor's signature Residence New Canaan, Connecticut	
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61 Bowery Road	61 Bowery Road	New Canaan, Connecticut 06840	

/n = \	Express Mail No. EV784674670US		Attorney Docket No. 337348046US
O'FE AND		Attorney Docket No.	337348046US
~ = 3006	Declaration for Patent Application	First Named Inventor	Andrew D. Firlik
MAY 2 5 2006	English Language Declaration	COMPL	ETE IF KNOWN:
	\$/	Application No.	10/606,202-Conf. #4373
TO THE WAR	Submitted Submitted after initial	Filing Date	June 24, 2003
The state of the s	with initial filing (surcharge require	Art Unit	3762
	filing 37 CFR 1.16(e))	Examiner	R. D. Bradford
•	As a below named inventor, I hereby declare to the My residence, mailing address and citizenship		to my name.
	I believe I am the original, first and sole inventand joint inventor (if plural names are listed be a patent is sought on the invention entitled:	or (if only one name is list	ed below) or an original, first
	METHODS AND APPARATUS FOR EFFECTI FUNCTION OF A PATIENT	JATING A LASTING CHA	NGE IN A NEURAL-
	the specification of which is attached hereto		
	OR		
	x was filed on 06/24/2003		No. 10/606,202
	as United States Application No. or PCT and was amended on	(if applicable)	
,	I hereby state that I have reviewed and unders including the claims, as amended by any ame	tand the contents of the andment referred to above.	above-identified specification,
	I acknowledge the duty to disclose information 1.56, including for continuation-in-part application between the filing date of the prior application continuation-in-part application.	ions, material information	which became available
	I hereby claim foreign priority benefits under 3 applications(s) for patent, inventor's or plant be international application which designated at lested below and have also identified below, by inventor's or plant breeder's right certificate(s) before that of the application on which priority	reeder's rights certificate(seast one country other that checking the box, any for or any PCT international	s), or 365(a) of any PCT in the United States of America, reign application for patent,
	Prior Foreign Application(s)		Priority Certified Not Copy Claimed Attached
			Claimed Attached YES NO
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	(Number) (Country)	(Filing Da	te)
	(Number) (Country)	(Filing Da	te)

Additional prior foreign applications are listed on a supplemental data sheet attached hereto.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor
Andrew D. Firlik
Sole or first inventor's signature

Full name of sole or first inventor		
Andrew D. Firlik		
Sole or first inventor's signature	Date	
Residence		
New Canaan, Connecticut		
Citizenship US		
Mailing Address		
161 Bowery Road		
New Canaan, Connecticut 06840	•	

Full name of fourth inventor, if any	
Jeffrey Balzer	
Second inventor's signature	Date
Residence	
Allison Park, PA	
Citizenship US	
Mailing Address	
8176 Post Road Allison Park, Pennsylvania 15101	

Full name of second inventor	
Alan J. Levy	
Third inventor's signature	5/15 / 0 G
Residence	•
Bellevue, Washington	
Citizenship US	
Mailing Address	
5435 154th Ave SE Bellevue, Washington 98006	

Citizenship US Mailing Address 4368 230th Way SE Sammamish, Washington 98075 Full name of fourth inventor, if any Katrina S. Firlik Fifth inventor's signature Residence New Canaan, Connecticut Citizenship US Mailing Address 161 Bowery Road	
Residence Reammanish, Washington Residence Res	Date
Sammamish, Washington Citizenship US Mailing Address 4368 230th Way SE Sammamish, Washington 98075 Full name of fourth inventor, if any Katrina S. Firlik Fifth inventor's signature Residence New Canaan, Connecticut Citizenship US Mailing Address 161 Bowery Road New Canaan, Connecticut 06840	5/15-106
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Katrina S. Firlik Fifth inventor's signature Residence New Canaan, Connecticut Citizenship US Mailing Address 161 Bowery Road New Canaan, Connecticut 06840	
Fifth inventor's signature Residence New Canaan, Connecticut Citizenship US Mailing Address 161 Bowery Road New Canaan, Connecticut 06840	
Residence New Canaan, Connecticut Citizenship US Mailing Address 161 Bowery Road New Canaan, Connecticut 06840	Date
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New Canaan, Connecticut Citizenship US Mailing Address 161 Bowery Road New Canaan, Connecticut 06840	
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New Canaan, Connecticut 06840	
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Express Mail No. EV784674670US

Attorney Docket No. 337348046US

Declaration for Pate	nt Application	Attorney Docket No.	337348046US
English Language		First Named Inventor	Andrew D. Firlik
English Eanguage		COMPL	ETE IF KNOWN:
		Application No.	10/606,202-Conf. #4373
	mitted after initial	Filing Date	June 24, 2003
	(surcharge required	Art Unit	3762
filing 37 C	FR 1.16(e))	Examiner	R. D. Bradford
As a below named inventor My residence, mailing addr	•		to my name.
	names are listed below evention entitled: TUS FOR EFFECTUA	w) of the subject matter	ed below) or an original, first which is claimed and for which
the specification of which is attached hereto OR x was filed on	06/24/2003	_ Iternational Application 1	No. 10/606,202
and was amended or		(if applicable).	10/000,202
including the claims, as am I acknowledge the duty to o 1.56, including for continua	ended by any amendi lisclose information w tion-in-part application ne prior application an	ment referred to above. hich is material to paten ns, material information	tability as defined in 37 CFR which became available attenuational filing date of the
listed below and have also	nventor's or plant bree ich designated at leas identified below, by ch s right certificate(s), or	eder's rights certificate(s st one country other than necking the box, any fore r any PCT international a), or 365(a) of any PCT the United States of America,
Prior Foreign Application	(s)		Priority Certified Not Copy Claimed Attached YES NO
(Number)	(Country)	(Filing Date	,
(Number)	(Country)	(Filing Date	<u>, </u>
(Number)	(Country)	(Filing Date	<u> </u>
Additional prior foreign	n applications are liste	ed on a supplemental da	ata sheet attached hereto.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Andrew D. Firlik	
	Date
Sole or first inventor's signature . Andwewfinitus	May 1, 2006
Residence	•
New Canaan, Connecticut	
Citizenship US	
Mailing Address	
161 Bowery Road	•
New Canaan, Connecticut 06840	
Full name of fourth inventor, if any	
Jeffrey Balzer	
Second inventor's signature	Date
Residence	
Allison Park, PA	
Citizenship US	
Mailing Address	
8176 Post Road Allison Park, Pennsylvania 15101	
Full name of second inventor	
Alan J. Levy	
	Date
Third inventor's signature	
Third inventor's signature Residence	
-	
Residence	
Residence Bellevue, Washington	
Residence Bellevue, Washington Citizenship US	

Full name of third inventor Bradford Evan Gliner	
Fourth inventor's signature	Date
Todius asvestion 3 Signature	
Residence	
Sammamish, Washington	
Citizenship US	
Mailing Address	
4368 230th Way SE	
Sammamish, Washington 98075	·
Full name of fourth inventor, if any	
Katrina S. Firlik	Date
Fifth inventor's signature	Date
Residence	
New Canaan, Connecticut	
Citizenship US	
Mailing Address	
4C4 Dowers Bood	
161 Bowery Road New Canaan, Connecticut 06840	
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MAY 2 5 2006

Express Mail No. EV784674670US

Attorney Docket No. 337348046US

Declaration for Pat	ent Application	Attorney Docket No.	337348046US		
English Languag		First Named Inventor	Andrew D. Firli	k	
English Earlydag	c Declaration	COMPL	ETE IF KNOWN	l:	
		Application No.	10/606,202-Co	nf. #4373	.
	mitted after initial	Filing Date	June 24, 2003		
	g (surcharge required CFR 1.16(e))	Art Unit	3762		
ming 57 C	JEK 1.10(<i>e))</i>	Examiner	R. D. Bradford		
As a below named inventor My residence, mailing add I believe I am the original, and joint inventor (if plural	ress and citizenship ar first and sole inventor names are listed below	re as stated below next to	ed below) or an	original, f I and for	îrst which
a patent is sought on the in METHODS AND APPARA FUNCTION OF A PATIEN the specification of which is attached hereto OR x was filed on	TUS FOR EFFECTUA	ATING A LASTING CHA	NGE IN A NEUI	RAL-	
<u> </u>		_ Itemational Application N	No. 10/	606,202	
and was amended o		(if applicable).	10.	000,202	
I hereby state that I have reincluding the claims, as an I acknowledge the duty to 1.56, including for continuate tween the filing date of the continuation-in-part application.	nended by any amendr disclose information what on-in-part application he prior application an	ment referred to above. hich is material to paten ns, material information v	tability as define which became a	d in 37 C	FR
I hereby claim foreign prior applications(s) for patent, i international application whisted below and have also inventor's or plant breeder' before that of the application	nventor's or plant bree nich designated at leas identified below, by ch s right certificate(s), or	eder's rights certificate(s) st one country other than necking the box, any fore r any PCT international a), or 365(a) of ar the United Stat eign application	ny PCT les of Am for paten	t,
Prior Foreign Application	ı(s)		Priority Not	Certif	
			_ Claimed	Cop Attach	
•				YES	NO
				П	
(Number)	(Country)	(Filing Date)	_	_
(Number)	(Country)	(Filing Date	, .		
(Number)	(Country)	(Filing Date	,		
Additional prior foreig	n applications are liste	ed on a supplemental da	nta sheet attache	ed hereto	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Sole or first inventor's signature	Date	
Residence		·
New Canaan, Connecticut		•
Citizenship US		
Mailing Address		
161 Bowery Road		
New Canaan, Connecticut 06840	·	
Full name of fourth inventor, if any		
Jeffrey Balzer		
Second inventor's signature	Date	······································
Residence Allison Park, PA		
Citizenship US		
Mailing Address		
8176 Post Road		
Allison Park, Pennsylvania 15101		
Full name of second inventor		
Full name of second inventor Alan J. Levy		
	Date	
Alan J. Levy Third inventor's signature	Date	
Alan J. Levy Third inventor's signature Residence	Date	
Alan J. Levy Third Inventor's signature Residence Bellevue, Washington	Date	
Alan J. Levy Third inventor's signature Residence Bellevue, Washington Citizenship US	Date	
Alan J. Levy Third Inventor's signature Residence Bellevue, Washington	Date	
Alan J. Levy Third Inventor's signature Residence Bellevue, Washington Citizenship US Mailing Address 5435 154th Ave SE	Date	
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Alan J. Levy Third Inventor's signature Residence Bellevue, Washington Citizenship US Mailing Address 5435 154th Ave SE	Date	•

Full name of third inventor Bradford Evan Gliner	
Fourth inventor's signature	Date
	Date
Residence	
Sammamish, Washington	
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Mailing Address	
4368 230th Way SE Sammamish, Washington 98075	
Full name of fourth inventor, if any	
Katrina S. Firlik	
Fifth inventor's signature	Date
Latima 5. Full	May 1, 2006
Residence New Canaan, Connecticut	ľ
Citizenship US	
Mailing Address	
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